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4 The role of baseline mesorectal fascia status and its change after
5 neoadjuvant therapy in predicting prognosis in locally advanced rectal
6 cancer

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8 Short title: The mesorectal fascia status can predict prognosis in locally advanced rectal
9 cancer

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23 **ABSTRACT**

24 **Objective:** To analyze the role of baseline mesorectal fascia (MRF) status and the
25 correlation between MRF changes and prognosis after neoadjuvant therapy in patients
26 with locally advanced rectal cancer.

27 **Methods:** Totally 321 patients with locally advanced rectal cancer were retrospectively
28 analyzed from January 2014 to December 2016 in Peking University Cancer Hospital.
29 All Patients underwent surgery after neoadjuvant radiotherapy and chemotherapy, and
30 were followed up regularly after surgery. The MRF status, extramural vascular invasion
31 (EMVI) status, tumor location, tumor stage and lymph node status were evaluated on
32 baseline MRI. For patients with positive baseline MRF, preoperative MRF status was
33 also evaluated. Chi-square test or independent t test were used to compare the
34 characteristics between MRF positive and negative patients. Kaplan-Meier curve,
35 log-rank test and multivariate Cox regression were used to analyze the correlation
36 between imaging features and prognosis.

37 **Results:** In all of the 321 subjects, 193 (60.1%) had positive baseline MRF, 54 (28.0%)
38 of the 193 patients had negative MRF after neoadjuvant therapy, and 139 (72.0%) of
39 them still had positive MRF preoperatively. The postoperative pathological T and N
40 stages were significantly higher in patients with positive baseline MRF than those with
41 negative MRF, and the proportion of patients achieving complete pathological response
42 was significantly lower than those with negative MRF (All $P < 0.05$). The postoperative
43 pathological T and N stages of patients with MRF negative conversion were
44 significantly lower than those without MRF negative conversion. In patients with
45 negative baseline MRF and patients with negative MRF conversion after neoadjuvant
46 therapy, the proportion of positive MRI EMVI was significantly lower (All $P < 0.05$).
47 Univariate survival analysis showed that overall survival and metastasis free survival
48 were poorer in patients with positive MRF at baseline, with a hazard ratio of 3.33 and
49 1.69, respectively. There was no significant correlation between negative MRF
50 conversion after neoadjuvant therapy and overall survival, metastasis free survival and
51 recurrence free survival. Multivariate Cox analysis showed that baseline MRF and
52 EMVI status were independent factors for overall survival and metastasis free survival,

53 with a risk ratio of 2.15 and 3.35 for overall survival, 1.13 and 2.74 for metastasis free
54 survival, respectively.

55 **Conclusions:** Baseline MRF status is one of the independent prognostic predictors in
56 locally advanced rectal cancer patients with neoadjuvant therapy. However, the role of
57 the change in MRF status after neoadjuvant therapy is uncertain for predicting
58 prognosis.

59

60 **Key words:** Rectal neoplasms; Mesorectal fascia; Magnetic resonance imaging;
61 Prognosis

62 **INTRODUCTION**

63 In the treatment of rectal cancer, postoperative pathological circumferential margin
64 invasion is an important factor for poor prognosis of patients.^[1, 2] Pelvic MRI is the
65 preferred imaging method for rectal cancer which recommended by the European
66 Society of Radiology.^[3-5] High resolution MRI can be exploited to measure the distance
67 between the deepest tumor invasion or perirectal lymph nodes within mesorectal fascia
68 (MRF) to MRF for MRF status evaluation, which is corresponding to the ideal
69 circumferential margin in pathological concept. It is defined as MRF negative, if the
70 distance >1 mm; otherwise, defined as MRF positive, if the distance ≤ 1 mm.^[6, 7] Studies
71 have shown a higher risk of postoperative local recurrence and distant metastasis in
72 rectal cancer patients with positive preoperative MRF.^[2, 8, 9] However, for patients with
73 locally advanced rectal cancer, there are few studies on the correlation between baseline
74 MRF status with the efficacy and prognosis of neoadjuvant therapy. Also, it is still
75 unclear about the impact of MRF status changes brought by neoadjuvant therapy on
76 prognosis. In this study, the authors aimed to analyze the correlation between the
77 baseline MRF status with MRF changes after neoadjuvant therapy and prognosis in
78 locally advanced rectal cancer patients with neoadjuvant therapy, for providing further
79 information for the development of clinical treatment procedures.

80

81 **MATERIALS AND METHODS**

82 *Patients*

83 This retrospective study was approved by the ethics committee of Beijing Cancer
84 Hospital(2020KT53). The informed consent requirement was waived. Patients with
85 locally advanced rectal cancer surgical treatment were retrospectively included from
86 January 2014 to December 2016 in Peking University Cancer Hospital. The inclusion
87 criteria were: (i) biopsy-tested primary rectal adenocarcinoma; (ii) received pelvic MRI
88 before and after neoadjuvant chemoradiotherapy; (iii) locally advanced rectal cancer
89 determined as $\geq T3$ or positive nodal status by pretreatment MRI; (iv) completed
90 neoadjuvant chemoradiotherapy before surgery; (v) Total mesorectal excision (TME)
91 surgery was performed after completion of neoadjuvant chemoradiotherapy; (vi)

92 postoperative follow-up. The exclusion criteria were: (i) previous history of malignant
93 tumors or other malignant tumors; (ii) insufficient MRI quality to obtain measurements;
94 (iii) lack of pathologic materials after TME or pathologically tested mucinous
95 adenocarcinoma; (iv) loss of follow-up within 3 months after the TME (i.e. without any
96 follow-up information after TME).

97 A total of 376 patients were collected in this study. 55 patients were excluded, including
98 9 patients without completed neoadjuvant therapy, 11 patients who did not receive TME,
99 4 patients with tumor history or other tumors, 5 patients with pathologically tested
100 mucinous adenocarcinoma, 18 patients without baseline or preoperative MRI, and 8
101 patients without postoperative follow-up. 321 patients were finally included, with 218
102 males and 103 females, aged 21–80 (54 ± 12) years.

103

104 *MRI protocol and parameter*

105 All Patients underwent MRI at 2-time points: within 1 week before the initiation of
106 neoadjuvant chemoradiotherapy and after neoadjuvant chemoradiotherapy within 1
107 week before surgery, respectively. All MRI were performed with a 3.0-T MR scanner
108 (GE Healthcare) applying an 8-channel phased array body coil. For reducing colonic
109 motility, 20 mg of scopolamine butylbromide was intramuscularly injected 30 minutes
110 prior to MRI scanning. Protocol and parameter: T2WI images were obtained using fat
111 recovery fast spin echo with TR = 5,694 ms, TE = 110 ms, FOV = 180×180 mm,
112 matrix = 288×256 , echo train length = 24, thickness = 3.0 mm, and gap = 0.3 mm.
113 DWI images were obtained using single-shot echo-planar imaging with 2 b-factors (0
114 and $1,000 \text{ s/mm}^2$), and repetition time (TR) = 2,800 ms, echo time (TE) = 70 ms, field
115 of view (FOV) = 34×34 cm, matrix = 256×256 , thickness = 4mm, and gap = 1mm.

116

117 *Neoadjuvant chemoradiotherapy regimens*

118 Intensity-modulated radiation therapy (IMRT) was administered. The IMRT regimen
119 comprised 22 fractions with a total dose of 50.0–55.0 Gy, 1.8–2.0 Gy/per time.^[10]
120 Capecitabine treatment was administered concurrently with IMRT at a dose of 825
121 mg/m^2 (oral, twice per day). TME-based surgery was recommended 8–11 weeks after

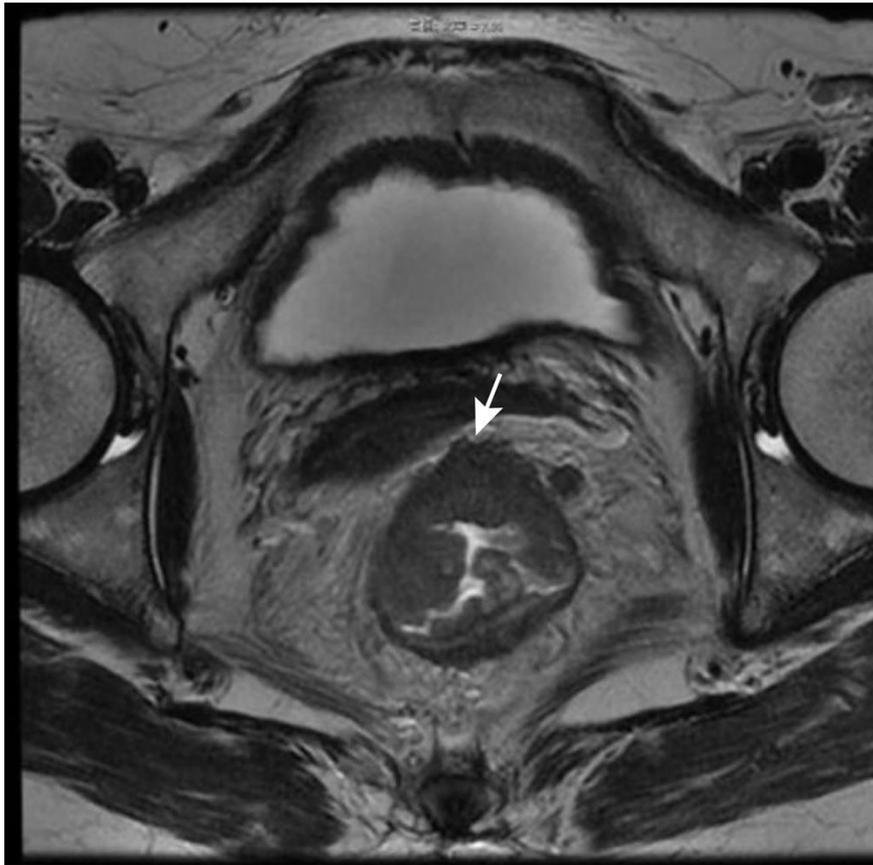
122 completing chemoradiotherapy.

123

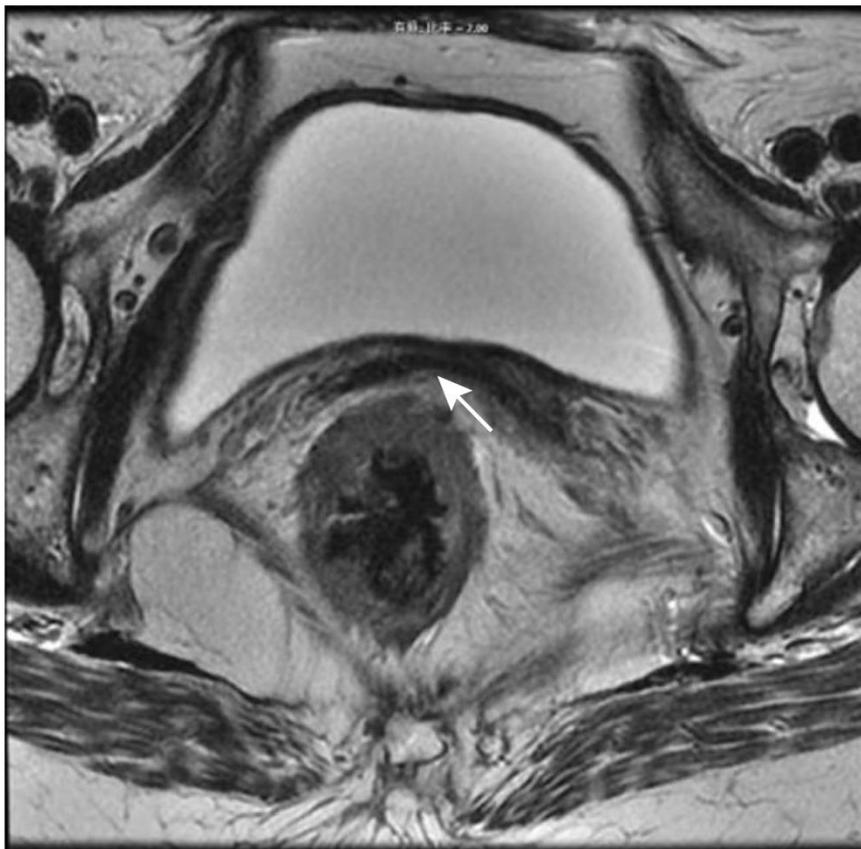
124 *Image Analysis*

125 All Patients' baseline and preoperative MRI image characteristics were assessed by two
126 radiologists independently as following. (1) Assessment of the MRF status was based
127 on the measured distance between the most outer margin of tumor and MRF on axial
128 T2WI (showing as clear low signal-lineal structure on T2WI), the distance ≤ 1 mm as
129 MRF positive, and > 1 mm as MRF negative (Figure 1, 2).^[6, 7] (2) Assessment of tumor
130 stage (MRI T stage). (3) Assessment of lymph node stage (MRI N stage). (4)
131 Assessment of extramural vascular invasion (EMVI) status was based on the MRI-
132 EMVI score (score of 0–2 as negative, score of 3–4 as positive). The score of 0 is that
133 the pattern of tumor extension through the muscle is not nodular, and there is no
134 vascular structure adjacent to areas of tumor penetration. The score of 1 is the
135 beaded/nodular extension or the persistence of minimal extramural vessels, but not
136 adjacent to areas of tumor. The score of 2 is that the beaded tumor is in the vicinity of
137 extramural vessels, but these vessels are of normal caliber, and there is no definite tumor
138 signal within the vessel. The score of 3 is that the intermediate signal intensity is
139 apparent within vessels, although the contour and caliber of these vessels are only
140 slightly expanded. The score of 4 is that the definite tumor signal is apparent within
141 vessels adjacent to tumor with obvious irregular vessel contour or nodular expansion
142 of vessel.^[11, 12] (5) Assessment of tumor location was according to the measured
143 distance of inferior border of the tumor to the anal verge, which was categorized 0–5
144 cm as low, 5–10 cm as middle, and 10–12 cm as high. Patients with positive baseline
145 MRF and negative preoperative MRF is determined as MRF negative conversion, while
146 with positive preoperative MRF is determined as without MRF negative conversion.
147 When the assessment of the two radiologists are inconsistent, a third senior radiologist
148 (with more than 15 years of experience in rectal MRI) arbitrates to the final diagnosis
149 and brings it into the survival analysis.

150



151



152

153 **Figure 1, 2.** High resolution T2WI images show mesorectal fascia (MRF) status in
154 patients with locally advanced rectal cancer. Figure 1 shows a 52-year-old patient with

155 rectal cancer. The deepest tumor invasion in the anterior wall of the rectum (twelve-
156 o'clock position↑) was closely associated with the MRF (distance <1 mm), suggesting
157 positive baseline MRF. Figure 2 shows a 55-year-old patient with rectal cancer who
158 still had visible mesorectal fat between the deepest tumor invasion in the left anterior
159 wall of the rectum (one-o'clock position↑) and the adjacent MRF (distance >1 mm),
160 indicating negative baseline MRF.

161

162

163 ***Pathology Analysis***

164 The surgically resected specimens were prepared for pathologic analysis according to
165 the 7th edition of TNM staging system published by the American Joint Committee on
166 Cancer.^[13] It was analyzing the EMVI, tumor stage (pT: T0–4), lymph node status (pN:
167 N0–2), MRF status and pathological complete response(pCR). pCR was defined as the
168 absence of living tumor cells in both the primary tumor and lymph nodes.

169

170 ***Follow-up***

171 All Patients underwent standard out-patient follow-up after surgery, including
172 examination of blood routine, blood biochemistry, tumor markers and CT (the contrast-
173 enhanced CT of abdomen and pelvis and chest CT scans). After TME surgery,
174 participants were followed up until death, usually every 3 months for first 2 years, then
175 every 6 months for next 3 years, and once per year thereafter. The period from the date
176 of surgery to the occurrence of distant metastasis was recorded as metastasis free
177 survival. The period from the date of surgery to the occurrence of local recurrence was
178 recorded as recurrence free survival. And the time from the date of surgery to the tumor-
179 related death was recorded as overall survival. The last follow-up date was December
180 31, 2019.

181

182 ***Statistical analysis***

183 All statistical analyses were carried out with SPSS version 22.0 (IBM Corp., Chicago,
184 IL, USA). The quantitative data were described as Mean ± SD. Kappa consistency

185 coefficient was used to determine the consistency of the two radiologists' evaluation of
186 image feature, and a Kappa > 0.80 indicating excellent correlation, 0.61–0.80 as good
187 correlation, 0.41–0.60 as moderate correlation, 0.21–0.40 as fair correlation, < 0.20 as
188 poor correlation. Chi-square test and independent t-test were used to compare the
189 characteristics between MRF positive and negative patients and between patients with
190 and without negative MRF conversion after neoadjuvant therapy. Kaplan-Meier method
191 was used to draw the survival curve. The survival curve was compared with the log
192 rank test. And multivariate Cox regression was used to obtain imaging features that
193 affected prognosis. $P < 0.05$ was defined as statistical significance.

194

195 **RESULTS**

196 *Patient characteristics*

197 In all of the 321 patients with locally advanced rectal cancer, 56 (17.4%) were
198 pathological T0 stage, 7 (2.2%) were T1 stage, 94 (29.3%) were T2 stage, 164 (51.1%)
199 were T3 stage; and 223 (69.5%) were pathological N0 stage, 70 (21.8%) were N1 stage,
200 28 (8.7%) were N2 stage. And 50 (15.6%) achieved pCR. According to the baseline
201 MRI, 193 (60.1%) were positive MRF, 128 (39.9%) were negative MRF; 154 (48%)
202 were positive EMVI, 167 (52%) were negative EMVI; 22 (6.9%) were MRI T2 stage,
203 220 (68.5%) were MRI T3 stage, 79 (24.6%) were MRI T4 stage; 48 (15.0%) were
204 positive MRI N, 273 (85.0%) were negative MRI N; 150 (46.7%) were low tumor, 150
205 (46.7%) were middle tumor, 21 (6.6%) were high tumor.

206 193 patients were positive baseline MRF, of which 54 (28.0%) were negative MRF
207 conversion, and the rest 139 (72.0%) patients were still positive MRF, while after
208 neoadjuvant therapy at preoperative MRI.

209 Median follow-up duration was 37 months (range, 4–77 months). 61 (19.0%) patients
210 died, 82 (25.5%) had distant metastasis, and 16 (5.0%) had local recurrence.

211

212 *Associations between baseline MRF status and the imaging, clinical, and* 213 *pathological features*

214 The postoperative pathological T and N stages were significantly higher in patients with

215 positive baseline MRF than those with negative MRF, of which 63.7% and 32.0% were
 216 pathological T3 stage, 36.8% and 21.1% were positive pathological N, respectively.
 217 The difference was statistically significant ($P < 0.05$, Table 1). And the proportion of
 218 patients achieving pCR after neoadjuvant therapy was significantly lower than those
 219 with negative baseline MRF, which were 8.3% and 26.6%, respectively. The difference
 220 was statistically significant ($P < 0.001$, Table 1). The proportion of patients who had
 221 baseline MRI T3–4 stage with positive baseline MRF was higher than those with
 222 negative MRF, which were 99.5% and 83.6%, respectively. The difference was
 223 statistically significant ($P < 0.001$, Table 1). In patients with positive baseline MRF, the
 224 proportion of positive baseline MRI EMVI was significantly higher than those with
 225 negative MRF, which were 61.1% and 28.1% respectively. The difference was
 226 statistically significant ($P < 0.001$, Table 1).

227 The age of positive baseline MRF and negative patients was (56 ± 11) years and ($53 \pm$
 228 13) years, respectively, which was no significant difference ($t = 1.849$, $P = 0.065$). Also,
 229 there were no statistically significant differences between positive baseline MRF and
 230 negative patients in gender, baseline CEA level, tumor location, or baseline MRI N
 231 stage (All $P > 0.05$, Table 1).

232 For the two radiologists, the assessment of all baseline MRI indicators was performed
 233 with excellent or good consistency, with a Kappa coefficient 0.737–0.924, and a Kappa
 234 coefficient of 0.883 for the consistency of baseline MRF.

235

236 **Table 1.** Comparison of imaging, clinical and pathological features between positive
 237 and negative baseline MRF patients with locally advanced rectal cancer [n (%)]

		Negative baseline	Positive baseline	χ^2 Value	P
		MRF	MRF		
Sex	Male	92(71.9)	126(65.3)	1.534	0.216
	Female	36(28.1)	67(34.7)		
Baseline CEA (ug/ml)	<5	88(68.8)	120(62.2)	1.458	0.227

	≥5	40(31.2)	73(37.8)		
pT	T0-2	87(68.0)	70(36.3)	30.948	<0.001
	T3	41(32.0)	123(63.7)		
pN	N0	101(78.9)	122(63.2)	8.937	0.003
	N1-2	27(21.1)	71(36.8)		
pCR	Yes	34(26.6)	16(8.3)	28.407	<0.001
	No	94(73.4)	177(91.7)		
Tumor Location	Low	67(52.3)	83(43.0)	2.696	0.101
	High-Middle	61(47.7)	110(57.0)		
MRI-EMVI	Negative	92(71.9)	75(38.9)	33.609	<0.001
	Positive	36(28.1)	118(61.1)		
MRI-T	T0-2	21(16.4)	1(0.5)	30.431	<0.001
	T3-4	107(83.6)	192(99.5)		
MRI-N	N0	17(13.3)	31(16.1)	0.468	0.494
	N1/2	111(86.7)	162(83.9)		

238 MRF, mesorectal fascia; CEA, carcino-embryonic-antigen; pCR, pathological complete
239 response; and EMVI, extramural vascular invasion.

240

241 ***Associations between MRF changes after neoadjuvant therapy and the imaging,***
242 ***clinical, and pathological features***

243 The postoperative pathological T and N stages of patients with MRF negative
244 conversion were significantly lower than those without MRF negative conversion, with
245 the pathological T3 stage of 50.0% and 69.1% and positive pathological N of 18.5%
246 and 43.9%, respectively. And it was statistically significant difference (All $P < 0.05$,
247 Table 2). In patients with negative MRF conversion, the proportion of positive baseline
248 MRI EMVI was significantly lower than those without negative MRF conversion,
249 which was 48.1% and 66.2%, respectively ($P = 0.021$, Table 2). There were no
250 statistically significant differences between patients with and without negative MRF
251 conversion in gender, baseline CEA level, tumor location, the proportion of pCR, or
252 baseline MRI T and N stages (All $P > 0.05$, Table 2). The age of patients with and

253 without negative MRF conversion was (56 ± 12) years and (52 ± 13) years, respectively,
 254 which was no significant difference ($t = 1.464, P = 0.145$).

255 The kappa coefficient of the consistency of two radiologists on MRF status assessment
 256 after neoadjuvant therapy was 0.803.

257

258 **Table 2.** Comparison of imaging, clinical and pathological features between patients
 259 with and without negative MRF conversion after neoadjuvant therapy [n (%)].

		With negative MRF conversion	Without negative MRF conversion	χ^2 Value	P
Sex	Male	34(63.0)	92(66.2)	0.178	0.673
	Female	20(27.0)	47(33.8)		
Baseline CEA (ug/ml)	<5	38(70.4)	82(59.0)	2.141	0.143
	≥ 5	16(29.6)	57(41.0)		
pT	T0–2	27(50.0)	43(30.9)	6.115	0.013
	T3	27(50.0)	96(69.1)		
pN	N0	44(81.5)	78(56.1)	10.761	0.001
	N1–2	10(18.5)	61(43.9)		
pCR	Yes	6(11.1)	10(7.2)	0.785	0.391
	No	48(88.9)	129(92.8)		
Tumor Location	Low	22(40.7)	61(43.9)	0.157	0.692
	High-Middle	32(59.3)	78(56.1)		
MRI-EMVI	Negative	28(51.9)	47(33.8)	5.327	0.021
	Positive	26(48.1)	92(66.2)		
MRI-T	T0–2	1(1.9)	0(0)	2.587	0.108
	T3–4	53(98.1)	139(100)		
MRI-N	N0	12(22.2)	19(13.7)	2.110	0.146
	N1/2	42(67.8)	120(86.3)		

260 MRF, mesorectal fascia; CEA, carcino-embryonic-antigen; pCR, pathological complete

261 response; and EMVI, extramural vascular invasion.

262

263 *Associations between baseline MRF status and MRF changes after neoadjuvant*
264 *therapy and prognosis*

265 Kaplan-Meier method was applied to draw the survival curve, the comparison of the
266 survival curve showed that patients with positive baseline MRF and positive EMVI had
267 poorer overall survival and metastasis free survival (All $P < 0.05$, Table 1). The hazard
268 ratio for overall survival were 3.33 and 4.28, and for metastasis free survival were 1.69
269 and 3.25, respectively. Patients with higher preoperative CEA levels had lower
270 metastasis free survival ($P = 0.015$). There was no significant correlation between
271 negative MRF conversion after neoadjuvant therapy with overall survival, metastasis
272 free survival and recurrence free survival. (All $P > 0.05$) (Table 3, Figure 3–8).

273 Multivariate Cox analysis showed that baseline MRF and EMVI status were
274 independent factors for overall survival and metastasis free survival ($P = 0.028$, $<$
275 0.001), with a hazard ratio of 2.15 (95% CI 1.09–4.27) and 3.35 (95% CI 1.79–6.26)
276 for overall survival, 1.13 (95% CI 1.02 to 1.25) and 2.74 (95% CI 1.68 to 4.47) for
277 metastasis free survival, respectively.

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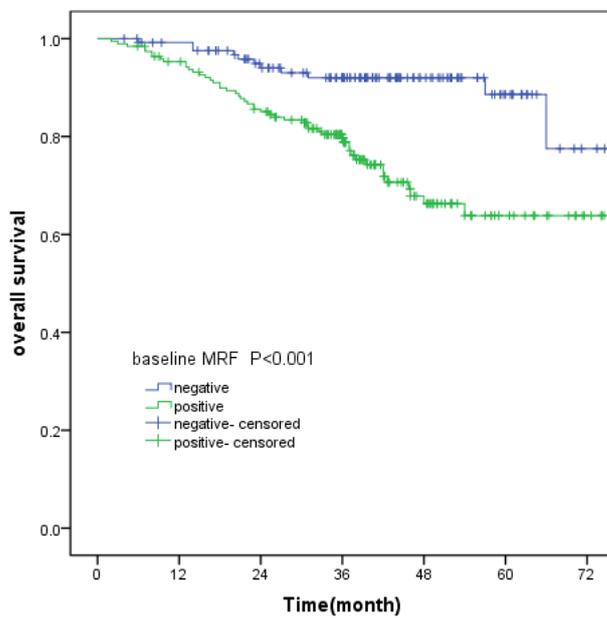
279 **Table 3.** Survival curve comparison of prognostic factors in patients with locally
280 advanced rectal cancer.

	Overall Survival		Metastasis free survival		Relapse-free survival	
	HR (95%CI)	<i>P</i>	HR (95%CI)	<i>P</i>	HR (95% CI)	<i>P</i>
Age	0.99 (0.97–1.01)	0.450	0.99 (0.98–1.02)	0.905	0.97 (0.93–1.00)	0.058
Sex	1.17 (0.70–1.98)	0.550	1.02 (0.64–1.61)	0.934	1.22 (0.44–3.36)	0.707
Baseline CEA (ug/ml)	1.46 (0.88–2.42)	0.147	1.72 (1.11–2.65)	0.015	0.86 (0.30–2.48)	0.783
Tumor Location	0.88 (0.60–1.28)	0.492	1.03 (0.75–1.43)	0.850	1.05 (0.50–2.18)	0.902
MRI-EMVI	4.28 (2.35–7.78)	<0.001	3.25 (2.02–5.21)	<0.001	2.86 (0.99–8.26)	0.053

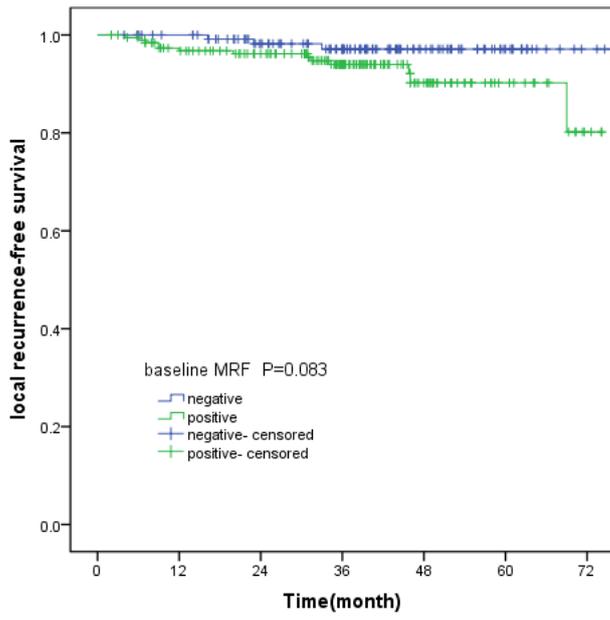
Baseline MRF	3.33 (1.73–6.40)	<0.001	1.69 (1.06–2.71)	0.029	3.04 (0.86–10.67)	0.083
MRI-T	4.51 (0.62–32.55)	0.136	2.09 (0.66–6.64)	0.211	22.53 (0.01–110 696.02)	0.473
MRI-N	2.58 (0.93–7.10)	0.068	0.87 (0.48–1.53)	0.604	2.63 (0.35–19.55)	0.350
With negative MRF conversion	1.22 (0.64–2.34)	0.546	1.35 (0.73–2.51)	0.342	2.05 (0.45–9.27)	0.350

281 CEA, indicates carcino-embryonic-antigen; EMVI, extramural vascular invasion; MRF,
 282 mesorectal fascia; and HR, hazard ratio.

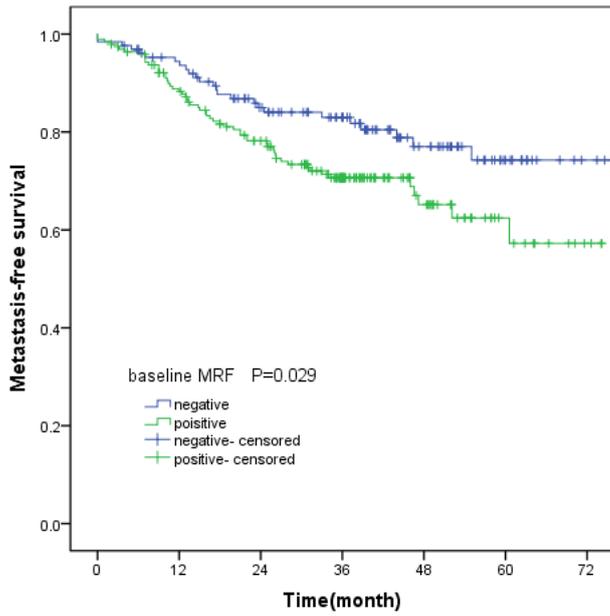
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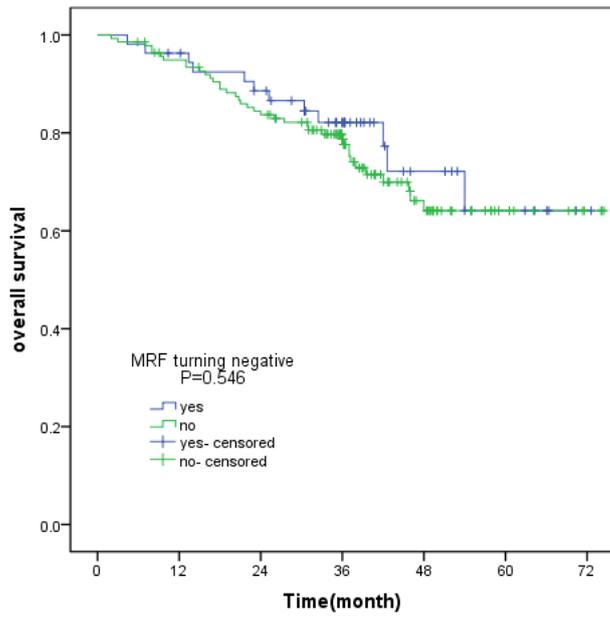
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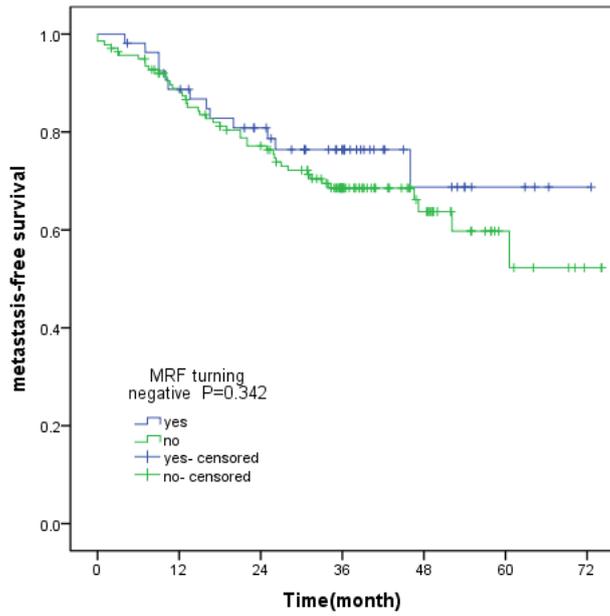
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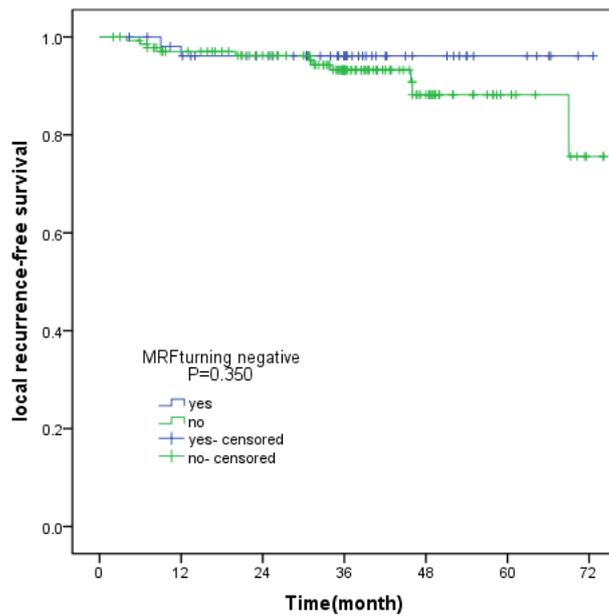
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289

290 **Figure 3–8.** The survival curves of patients with locally advanced rectal cancer.

291 **Figure 3–5.** Show the overall survival, metastasis free survival and recurrence free
 292 survival curves in positive and negative baseline mesenteric fascia (MRF) patients,
 293 respectively.

294 **Figure 6–8.** Show the overall survival, metastasis free survival and recurrence free
 295 survival curves in patients with and without negative MRF conversion after
 296 neoadjuvant therapy, respectively.

297

298 **DISCUSSION**

299 The results of this study showed that baseline MRF status was an independent
 300 prognostic predictor in rectal cancer patients with neoadjuvant therapy; and the overall
 301 survival and metastasis free survival of positive MRF patients were poor, which was
 302 consistent with previous studies.^[5, 12] The study found that patients with higher
 303 pathological T and N stages were more likely positive MRF, which is because the higher
 304 tumor stage refers to the deeper invasion and account for the higher possibility of
 305 positive MRF; and metastatic lymph nodes in the mesentery affect the assessment of
 306 MRF directly. The results of this study showed that 99.5% positive baseline MRF
 307 patients with MRI stage T3–4, which was significant difference from negative MRF

308 patients (83.6%), however, there was no significant difference in the baseline MRI N
309 stage, which may be due to the low diagnostic accuracy of MRI N stage.

310 The assessment of MRF requires accurate measurement, affecting many factors,
311 including MRI scan direction, tumor, neoadjuvant chemoradiotherapy and mesorectal
312 lymph nodes, etc. In the study of Granero-Castro *et al.*,^[14] comparing with ultrasound
313 endoscopy and CT, MRI was higher accuracy in the assessment of MRF; however,
314 while applying MRI for assessment, the measured value is usually higher than the real
315 value, because as a two-dimensional image, the scan direction is generally at a certain
316 angle with rectal. The accuracy of MRF assessment was related to the lymph node
317 involvement, tumor location and perirectal fat thickness in the anterior wall.^[15] Some
318 studies showed that the false positive rate of MRF in the anterior wall rectal cancer was
319 significantly higher than those in the posterior or lateral wall.^[16] Tumor fibrosis and
320 tissue edema caused by neoadjuvant radiotherapy can also affect MRF assessment.^[17]
321 In addition, when there are metastatic lymph nodes in the mesentery, the distance
322 between the metastatic lymph nodes and the circumferential resection margin should
323 be measured to judge the MRF status. However, the specificity of evaluation of lymph
324 nodes in the mesentery is low, especially after neoadjuvant therapy.^[18]

325 Previous studies have shown that negative MRF conversion after neoadjuvant therapy
326 is a factor indicating a good prognosis of rectal cancer. Patients with negative MRF
327 conversion have a higher rate of 3 years recurrence, disease free survival and overall
328 survival than patients with persistent positive MRF.^[19] Our study had not found negative
329 MRF conversion was a good prognosis indication factor, which may be related to the
330 differences in positive baseline MRF rate and negative MRF conversion rate among
331 different subjects. In this study, although there was no correlation between negative
332 MRF conversion after neoadjuvant therapy with pCR and prognosis, preoperative MRF
333 status theoretically reflects pathological circumferential margin status, which will
334 directly affect the selection of surgical method and postoperative treatment plan. And it
335 is still an important indicator for preoperative MRI evaluation.

336 There are some limitations to this study. Firstly, our study is a retrospective, single-
337 center study. Although we found that among baseline MRI indicators, MRF and EMVI

338 were superior predictors of prognosis than traditional T and N tumor stages, the results
339 need to be validated in a wider population. Secondly, MRF invasion includes direct
340 tumor invasion, tumor nodule invasion, metastatic lymph node invasion, EVMI
341 invasion and others. In the data of our center, direct tumor invasion accounts for more
342 than 80%, and the remaining 20% mainly includes lymph node invasion and EMVI
343 invasion. However, the various conditions were not correspondingly classified and
344 analyzed considering that the low diagnostic overall accuracy of lymph node metastasis
345 and EMVI and highly subjectivity. Thirdly, due to the low proportion of patients with
346 local recurrence among the study population, a significant association between MRF
347 and recurrence was not found, which may be related to the insufficient sample size. In
348 addition, the assessment of baseline and preoperative MRF status were affected by
349 radiologists' experience and diagnostic level. Although the consistency analysis showed
350 a good consistency among researchers, the correlation between diagnostic accuracy of
351 MRF and radiologists' experience should be investigated in future studies to further
352 evaluate the reliability of this indicator.

353 In conclusion, baseline MRF status of rectal cancer patients are significantly correlated
354 with the efficacy of neoadjuvant therapy and is one of the important predictors of
355 prognosis. The results of this study support to use baseline MRF status assessment as a
356 routine assessment for rectal cancer patients, and suggest adding this indicator to
357 relevant imaging and clinical guidelines for guiding clinical procedures. However, the
358 role of the negative MRF conversion after neoadjuvant therapy is uncertain for
359 predicting prognosis, and further large-sample, multi-center studies are needed.

360

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366

367 **Ethics Approval and Consent to Participate**

368 This retrospective study was approved by the ethics committee of Beijing Cancer
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370

371 **Conflict of Interests**

372 None declared.

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